



OPTIONS STRATEGIES



Mariner Wealth Advisors offers alternative investment strategies that may help add diversification, lower correlation to traditional asset classes, reduce volatility, and/or focus on absolute returns and income.



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Custom Overlays

These strategies should be used as a portfolio solution to help achieve an objective for a client.

- **Covered Call** – Enhance risk-adjusted return of an existing equity position or a portfolio of equity positions over a full market cycle. If appropriate, covered calls can be used as a tactical way to diversify away from a concentrated equity position.
- **Collar** – Achieve capital preservation by creating downside protection for a set period of time and minimize the cost paid to purchase downside protection by selling an out-of-the-money call option.
- **Protective Put** – Protect an existing equity position or a portfolio of equity positions against a significant decline in value.

Absolute Return Overlays

Absolute return overlays add an additional strategy onto an existing portfolio without disrupting the current asset allocation. The assets in the collateral portfolio can consist of any marginable asset, including stocks, bonds, cash, exchange-traded funds and mutual funds.

- **Premium Income Strategy** – Provide absolute return over a market cycle while maintaining low correlations to equity markets. Overlay on a portion of an investment portfolio with the goal of providing an additional return stream that

is uncorrelated to the performance of underlying investment held as collateral.

- **Managed Volatility Strategy** – Provide absolute return over a market cycle while maintaining low correlations to equity markets. Overlay on a portion of an investment portfolio with the goal of enhancing the total return. This strategy is similar to Premium Income but may result in higher risk/higher reward.

**FOR MORE INFORMATION**

CALL: 866-346-7265

CLICK: www.marinerwealthadvisors.com

Option trading involves a significant degree of risk, which each prospective investor should seriously consider. The risk of loss in trading options can be substantial. Prospective clients should carefully consider whether such trading is suitable for them in light of their financial condition and individual risk tolerances. The high degree of leverage that is often obtainable in options trading can work against investors as well as for them. More information on the risks of buying and selling options contracts can be found on the CBOE's website at [Characteristics and Risks of Standardized Options](#).

A client account utilizing margin financing may be required to segregate liquid assets or otherwise cover the account's obligation created by a transaction that may give rise to leverage. To satisfy the account's obligations or to meet segregation requirements, positions may be required to be liquidated when it may not be advantageous to do so. Leverage may cause the value of a client account to be more volatile than if it had not been leveraged, as certain types of leverage may exaggerate the effect of any increase or decrease in the value of securities in an account. The loss on leveraged transactions may substantially exceed the initial investment

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